# Form 605

### **Corporations Act 2001**

## Section 671B

## Notice of ceasing to be a substantial holder

To_Company Name/Scheme	APPEN LTD	
ACN/ARSN/ <b>ABN</b>	60 138 878 298 	
1. Details of substantial holder (1)	)	
Name	JPMorgan Chase & Co. and its affiliat	es
ACN/ARSN (if applicable)	NA	
The holder ceased to be a substantial holder on		08/01/2024
The previous notice was given to the company on		29/12/2023
The previous notice was dated		27/12/2023

### 2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
See Appendix	JPMORGAN CHASE BANK,N.A	Securities on Loan as Agent Lender	See Appendix	142,046 (Ordinary)	142,046 (Ordinary)
See Appendix	J.P. MORGAN SECURITIES PLC	Holder of securities subject to an obligation to return under a securities lending agreement	See Appendix	1 (Ordinary)	1 (Ordinary)
See Appendix	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Holder of securities subject to an obligation to return under a securities lending agreement	See Appendix	59,901 (Ordinary)	59,901 (Ordinary)
See Appendix	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Purchase and sales of securities in its capacity as Principal/Proprietary	See Appendix	1,187,891 (Ordinary)	1,187,891 (Ordinary)

#### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association	
J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Subsidiary of JPMorgan Chase & Co.	
JPMORGAN CHASE BANK, N.A.	Subsidiary of JPMorgan Chase & Co.	
J.P. MORGAN SECURITIES PLC	Subsidiary of JPMorgan Chase & Co.	

#### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
JPMorgan Chase & Co.	383 Madison Avenue, New York, New York, NY, 10179, United States
J.P. MORGAN SECURITIES AUSTRALIA LIMITED	LEVEL 18, 83-85 CASTLEREAGH STREET, SYDNEY, NSW 2000, Australia
JPMORGAN CHASE BANK, N.A.	1111 Polaris Parkway, Columbus, Delaware, OH, 43240, United States
J.P. MORGAN SECURITIES PLC	25 Bank Street, Canary Wharf, London, E14 5JP, England

print name	Lokesh Kumar	capacity	Compliance Officer
sign here	lokesh kumar	date	10/01/2024

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 4 of the form.
- (2) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (3) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (4) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (5) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, a become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional in the happening or not of a contingency. o Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid a directly to the person from whom the relevant interest was acquired.
- (6) The voting shares of a company constitute one class unless divided into separate classes.
- (7) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

RADES FOR RELEVANT PERIOD						Appendix
Transaction Date	Entity	Product Type	Type of Transaction	Quantity	Price (AUD)	Consideration
alance at start of relevant period				11,397,959		
28-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(3,342)	0.64	
28-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(1,848)	0.65	
28-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(10,000)	0.64	
28-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Buy	914	0.65	\$ 589.53
28-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Borrow Return	(59,901)	-	\$ -
28-Dec-23	JPMORGAN CHASE BANK, N.A.	Equity	Borrow Return	(44)	-	\$ -
28-Dec-23	JPMORGAN CHASE BANK, N.A.	Equity	Borrow Return	(20,956)	-	\$ -
29-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(914)		\$ 594.10
29-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(1,673)	0.64	
29-Dec-23	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(2,534)	0.66	
2-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(3,186)	0.62	
2-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(15,141)	0.62	\$ 9,452.86
2-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(24,859)	0.62	\$ 15,515.45
2-Jan-24	JPMORGAN CHASE BANK, N.A.	Equity	Borrow Return	(142,083)	-	\$ -
3-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(3,354)	0.61	\$ 2,051.18
3-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(50,000)	0.61	\$ 30,253.00
3-Jan-24	J.P. MORGAN SECURITIES PLC	Equity	Borrow Return	(6,933)	-	\$ -
3-Jan-24	J.P. MORGAN SECURITIES PLC	Equity	Borrow	1	-	\$ -
3-Jan-24	J.P. MORGAN SECURITIES PLC	Equity	Borrow	6,932	-	\$ -
4-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(6,285)	0.60	\$ 3,739.80
4-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(24,484)	0.59	\$ 14,529.96
4-Jan-24	J.P. MORGAN SECURITIES PLC	Equity	Borrow Return	(1)	-	\$ -
5-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(6,979)	0.58	\$ 4,012.93
5-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(664)	0.57	\$ 380.77
5-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(35,516)	0.58	\$ 20,578.25
5-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(10,000)	0.58	\$ 5,801.83
5-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Buy	16,873	0.58	\$ 9,703.27
8-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(7,810)	0.50	\$ 3,909.97
8-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(933,909)	0.57	\$ 532,328.13
8-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(3,180)	0.49	\$ 1,572.4
8-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(10,000)	0.52	\$ 5,182.52
8-Jan-24	J.P. MORGAN SECURITIES AUSTRALIA LIMITED	Equity	Sell	(50,000)	0.52	
8-Jan-24	JPMORGAN CHASE BANK, N.A.	Equity	Borrow	300,000		\$ -
8-Jan-24	JPMORGAN CHASE BANK, N.A.	Equity	Borrow	5,129	-	š -
lance at End of relevant period		1007		10,292,212		

shareholding houce	filed with ASX.
Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU00000APX3
Date of change of	
relevant	08-Jan-2024
interests:	
Schedule	
Type of agreement	Global Master Securities Lending Agreement ("GMSLA")
Parties to agreement	JPMorgan Chase Bank, N.A. (acting as agent) ("lender") and Citigroup Global Markets Limited (Borrower)
Transfer date	Settlement Date 10-Nov-23 4-Dec-23
Holder of voting rights	Borrower
Are there any restriction on voting rights	Yes
If yes, detail	The borrower undertakes to use its best endeavors to arrange for the voting rights to be exercised in accordance with the instructions of the lender, provided that the lender uses its best endeavors to notify the borrower of its instructions in writing no later than 7 business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties. This undertaking is set out in clause 6.6 of the standard form GMSLA.
Scheduled return date (if any)	None
Does the borrower have the right to return early?	Yes
If yes, detail	Borrower has right to return all and any equivalent securities early at any time in accordance with the ender's instructions.
Does the lender have the right to recall early?	Yes
If yes, detail	Lender has right to recall all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange or in the clearing organisation through which the relevant borrowed securities were originally delivered (and where there is a difference between the settlement time for sales and purchases on the relevant exchange or clearing organisation, the standard settlement time shall be the shorter of the two times). The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
settlement?	Yes
If yes, detail any exceptions	No exceptions
	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.

shareholding notice	
Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU00000APX3
Date of change of	
relevant	08-Jan-2024
interests:	
Schedule	
Type of agreement	Australian Master Securities Lending Agreement ("AMSLA")
Parties to agreement	JPMorgan Chase Bank, N.A. (acting as agent) ("lender") and Macquarie Bank Limited(Borrower)
Transfer date	<u>Settlement Date</u> 24-Oct-23 21-Nov-23 4-Dec-23
rights	Borrower
Are there any restriction on voting rights	Yes
If yes, detail	The borrower undertakes to use its best endeavors to arrange for the voting rights to be exercised in accordance with the instructions of the lender, provided that the lender uses its best endeavors to notify the borrower of its instructions in writing no later than 7 business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties. This undertaking is set out in clause 4.3 of the standard form AMSLA.
Scheduled return date (if any)	None
Does the borrower	Yes
	Borrower has right to return all and any equivalent securities early at any time in accordance with the lender's instructions.
Does the lender have the right to recall early?	Yes
If yes, detail	Lender has right to recall all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange or in the clearing organisation through which the relevant borrowed securities were originally delivered (and where there is a difference between the settlement time for sales and purchases on the relevant exchange or clearing organisation, the standard settlement time shall be the shorter of the two times). The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
settlement?	Yes
If yes, detail any exceptions	No exceptions
NTATEMENT	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.

	filed with ASX.
Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU00000APX3
Date of change	
of relevant	08-Jan-2024
interests:	
Schedule	
	Global Master Securities Lending Agreement ("GMSLA")
Parties to	JPMorgan Chase Bank, N.A. (acting as agent) ("lender") and Merrill Lynch International(Borrower)
agreement	
Transfer date	Settlement Date 26-May-23 29-May-23 11-Jul-23 9-Aug-23 13-Nov-23 16-Nov-23 4-Dec-23 7-Dec-23 13-Dec-23 13-Dec-23
Holder of voting	Borrower
rights	bonower
Are there any	
	Yes
voting rights	
If yes, detail	The borrower undertakes to use its best endeavors to arrange for the voting rights to be exercised in accordance with the instructions of the lender, provided that the lender uses its best endeavors to notify the borrower of its instructions in writing no later than 7 business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties. This undertaking is set out in clause 6.6 of the standard form GMSLA.
date (if any)	None
Does the borrower have the right to return early?	Yes
If yes, detail	Borrower has right to return all and any equivalent securities early at any time in accordance with the ender's instructions.
Does the lender have the right to recall early?	Yes
If yes, detail	Lender has right to recall all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange or in the clearing organisation through which the relevant borrowed securities were originally delivered (and where there is a difference between the settlement time for sales and purchases on the relevant exchange or clearing organisation, the standard settlement time shall be the shorter of the two times). The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
Will the securities	
	Yes
settlement?	
If yes, detail any exceptions	No exceptions
Statement	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.

Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU000000APX3
Date of change of relevant interests:	08-Jan-2024
Schedule	
Type of agreement	Australian Master Securities Lending Agreement ("AMSLA")
Parties to	
agreement	JPMorgan Chase Bank, N.A. (acting as agent) ("lender") and UBS Securities Australia Ltd (Borrower)
Transfer date	Settlement Date 10-Jan-2024
Holder of voting rights	Borrower
Are there any	
restriction on	Yes
voting rights	
If yes, detail	The borrower undertakes to use its best endeavors to arrange for the voting rights to be exercised in accordance with the instructions of the lender, provided that the lender uses its best endeavors to notify the borrower of its instructions in writing no later than 7 business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties. This undertaking is set out in clause 4.3 of the standard form AMSLA.
Scheduled return	None
date (if any)	
Does the borrower	
have the right to	Yes
return early?	Devenues has visht to vature all and any aquivalent convition only at any time in proceedance with the landar/s
If yes, detail	Borrower has right to return all and any equivalent securities early at any time in accordance with the lender's instructions.
Does the lender	
have the right to	Yes
recall early?	
If yes, detail	Lender has right to recall all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange or in the clearing organisation through which the relevant borrowed securities were originally delivered (and where there is a
	difference between the settlement time for sales and purchases on the relevant exchange or clearing organisation, the standard settlement time shall be the shorter of the two times). The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
Will the securities be returned on settlement?	organisation, the standard settlement time shall be the shorter of the two times). The borrower must return
Will the securities be returned on	organisation, the standard settlement time shall be the shorter of the two times). The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.

snarenoiding notice fi	
Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU00000APX3
Date of change of	08-Jan-2024
relevant interests:	06-Jal1-2024
Schedule	
Type of agreement	Institutional Account Agreement
Parties to agreement	JP Morgan Securities LLC for itself and as agent and trustee for the other J.P. Morgan Entities and <b>State Street</b> <b>Bank and Trust Co (As Agent) and BROWN BROTHERS HARRIMAN &amp; CO(AS AGENT)</b> (herein referred to as <b>"JPMS"</b> ). " J.P. Morgan Entities" means, as the context may require or permit, any and all of JPMSL, JPMorgan Chase Bank, N.A., J.P. Morgan Securities LLC., J.P. Morgan Markets Limited, J.P. Morgan Securities Australia Limited, J.P. Morgan Securities (Asia Pacific) Limited, J.P. Morgan Securities Japan Co., Ltd and J.P. Morgan Prime Nominees Limited and any additional entity notified to the Company from time to time.
Transfer date	Settlement date:           06-Apr-2023           21-Jul-2023           04-Dec-2023
Holder of voting rights	JPMS is the holder of the voting rights from the time at which it exercises its right to borrow. Notwithstanding this, please note that the Company has the right to recall equivalent securities if it wishes to exercise its voting rights in respect of the securities.
Are there any restriction on voting rights	Yes
If yes, detail	JPMS will not be able to exercise voting rights in circumstances where the Company has recalled equivalent securities from JPMS before the voting rights have been exercised. In these circumstances, JPMS must return the securities to the Company and the Company holds the voting rights.
Scheduled return date (if any)	N/A. There is no term to the loan of securities.
Does the borrower have the right to return early?	Yes.
If yes, detail	JPMS has the right to return all and any securities or equivalent securities early at any time.
Does the lender have the right to recall early?	Yes.
If yes, detail	The Company has the right to recall all or any equivalent securities on demand.
Will the securities be returned on settlement?	Yes. Settlement of the loan will occur when JPMS returns equivalent securities to the Company. There is no term to the loan of securities.
If yes, detail any exceptions	
Statement	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.

Parties to agreement       CREDIT SUISSE (SWITZERLAND) LTD (formerly Credit Suisse (Schweiz) AG) ('lender'), J.P. MORGAN SECURITIES PLC ('borrower')         Transfer date       Settlement Date 24-May-2023         Joider of voting rights       Party holding the securities has voting rights for those securities         Are there any restriction on voting rights       Party undertakes that where it holds securities of the same description as any securities borrower by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities in will use its best endeavours to arrange for the voting rights tached to such securities is one exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions.         Obes the borrower have the ender in accordance with the lender's instructions.       Subject	shareholding notice filed v	vith ASX.
ISIN:       AU000000APX3         Date of change of relevant interests:       08-Jan-2024         Schedule       (REDIT SUISSE (SWITZERLAND) LTD (formerly Credit Suisse (Schweiz) AG) ('lender'), J.P. MORGAN SECURITIES PLC ('borrower)         Parties to agreement       (REDIT SUISSE (SWITZERLAND) LTD (formerly Credit Suisse (Schweiz) AG) ('lender'), J.P. MORGAN SECURITIES PLC ('borrower)         Irransfer date       24-May-2023         Joint of voting rights       Party holding the securities has voting rights for those securities         Are there any restriction on voting rights       Party holding the securities has voting rights for those securities of the same description as any securities borrowee by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to antify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercite evoldance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent securities due and outstanding to the lender in accordance with the lender is instructions.         Obset the borrower have the right to recall early?       Subject to the terms of the relevant borrowing request, the borrower shall be entitled	Date:	10-Jan-2024
Date of change of relevant interests:         08-Jan-2024           Schedule         09-Jan-2024           Schedule         09-reseas Securities Lending Agreement ("OSLA")           Parties to agreement         CREDIT SUISSE (SWITZERLAND) LTD (formerly Credit Suisse (Schweiz) AG) ('lender'), J.P. MORGAN SECURITIES FUC ('borrower)           Transfer date         Settlement Date 24-May-2023           Toidler of voting rights         Party holding the securities has voting rights for those securities           Are there any restriction on voting rights         Yes           Each party undertakes that where it holds securities of the same description as any securities borrowed by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights statched to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or a otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties are that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower or le	Company's name:	APPEN LTD
relevant interests:     UP-jain/2024       Schedule     Overseas Securities Lending Agreement (*OSLA*)       Parties to agreement     CREDIT SUISSE (SWITZERLAND) LTD (formerly Credit Suisse (Schweiz) AG) ('lender'), J.P. MORGAN SECURITIES PLC (borrower)       Fransfer date     Settlement Date 24-May-2023       Holder of voting rights     Party holding the securities has voting rights for those securities       Are there any restriction on voting rights     Party holding the securities has voting rights for those securities in respect of such securities, it will use its best endeavours to arrange for the voting right statched to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as avoidance of doubt, the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, quivalent securities, collateral and/or equivalent collateral shall be exercisable or as one behaff of whom they are held, and not necessarily the borrower or lender (as the case may be).       Schedule return date (if None     Yes       Schedule return date (if Yes, detail     Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and oustanding to the lender in accord	ISIN:	AU00000APX3
Type of agreement         Overseas Securities Lending Agreement (*OSLA*)           Parties to agreement         CREDIT SUISSE (SWITZERLAND) LTD (formerly Credit Suisse (Schweiz) AG) (*lender'), J.P. MORGAN SECURITIES PLC (borrower)           Settlement Date         24-May-2023           tolder of voting rights         Party holding the securities has voting rights for those securities           Are there any restriction on voting rights         Each party undertakes that where it holds securities of the same description as any securities borrower by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercised to whom they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate		08-Jan-2024
CREDIT SUISSE (SWITZERLAND) LTD (formerly Credit Suisse (Schweiz) AG) ('lender'), J.P. MORGAN SECURITIES PLC ('borrower)           Transfer date         Settlement Date 24-May-2023           Joider of voting rights         Party holding the securities has voting rights for those securities           Are there any restriction no voting rights         Each party undertakes that where it holds securities of the same description as any securities borrower by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower or lender (as the case may be).           Scheduled return date (if ight to recall early?         Yes           Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions.           Obses the lender have thet ight to recall early?         Subject to	Schedule	
SECURITIES PLC ('borrower)           Transfer date         Settlement Date 24-May-2023           tolder of voting rights         Party holding the securities has voting rights for those securities           Are there any restriction on voting rights         Yes           Each party undertakes that where it holds securities of the same description as any securities borrowed by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall note bo obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore epivoided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent securities, provide alwore the vertiles, equivalent securities, collateral and/or equivalent securities, the right to return early?           Scheduled return date (if mry)         None           Obses the lender have the right to recall early?         Yes           Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with	Type of agreement	Overseas Securities Lending Agreement ("OSLA")
Internet rate       24-May-2023         tolder of voting rights       Party holding the securities has voting rights for those securities         Are there any restriction on voting rights       Yes         Each party undertakes that where it holds securities of the same description as any securities borrower by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower or lender (as the case may be).         Scheduled return date (if any)       None         Obes the lender have the right to recall early?       Yes         Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the l	Parties to agreement	
Are there any restriction on voting rights       Yes         Each party undertakes that where it holds securities of the same description as any securities borrower by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower or lender (as the case may be).         Scheduled return date (if nny)       None         Does the borrower have the right to return early?       Yes         Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions.         Does the lender have the right to recall early?       Yes         Subject to the set-off and event of default provisions, the lender may call for the redelivery of all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement?         Will	Transfer date	
on voting rights       Yes         Each party undertakes that where it holds securities of the same description as any securities borrowed by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower or lender (as the case may be).         Scheduled return date (if nay)       None         Does the borrower have the right to recall early?       Yes         Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions.         Does the lender have the right to recall early?       Subject to the set-off and event of default provisions, the lender may call for the redelivery of all or any equivalent s	Holder of voting rights	Party holding the securities has voting rights for those securities
by it or transferred to it by way of collateral at the time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by or on behalf of whom they are held, and not necessarily the borrower or lender (as the case may be). Scheduled return date (if any) Does the borrower have the right to return early? Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions. Does the lender have the right to recall early? Yes Subject to the set-off and event of default provisions, the lender may call for the redelivery of all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange through which the relevant borrower decomption. Xill the securities be externed on settlement? Yes	Are there any restriction on voting rights	Yes
any)       None         Does the borrower have the right to return early?       Yes         Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions.         Does the lender have the right to recall early?       Yes         Subject to the set-off and event of default provisions, the lender may call for the redelivery of all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange through which the relevant borrowed securities were originally delivered.         Will the securities be returned on settlement?       Yes         If yes, detail any exceptions       Absent default, there are no exceptions.         If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	If yes, detail	securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the lender or borrower (as the case may be) provided always that each party shall use its best endeavours to notify the other of its instructions in writing no later than seven business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties, and that the party concerned shall not be obliged so to exercise the votes in respect of securities greater than the number so lent or transferred to it. For the avoidance of doubt, the parties agree that subject as hereinbefore provided any voting rights attaching to the relevant securities, equivalent securities, collateral and/or equivalent collateral shall be exercisable by the persons in whose name they are registered or where in bearer form, the persons by
He right to return early?       Yes         Subject to the terms of the relevant borrowing request, the borrower shall be entitled at any time to terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions.         Does the lender have the right to recall early?       Yes         Subject to the set-off and event of default provisions, the lender may call for the redelivery of all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange through which the relevant borrowed securities were originally delivered.         Will the securities be returned on settlement?       Yes         If yes, detail any exceptions       Absent default, there are no exceptions.         If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	Scheduled return date (if any)	None
If yes, detail       terminate a particular loan of securities and to redeliver all and any equivalent securities due and outstanding to the lender in accordance with the lender's instructions.         Does the lender have the right to recall early?       Yes         Subject to the set-off and event of default provisions, the lender may call for the redelivery of all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange through which the relevant borrowed securities were originally delivered.         Will the securities be returned on settlement?       Yes         If yes, detail any exceptions       Absent default, there are no exceptions.         If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	Does the borrower have the right to return early?	Yes
Yes         Subject to the set-off and event of default provisions, the lender may call for the redelivery of all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange through which the relevant borrowed securities were originally delivered.         Will the securities be returned on settlement?       Yes         If yes, detail any exceptions       Absent default, there are no exceptions.         If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	If yes, detail	terminate a particular loan of securities and to redeliver all and any equivalent securities due and
If yes, detail       any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange through which the relevant borrowed securities were originally delivered.         Will the securities be returned on settlement?       Yes         If yes, detail any exceptions       Absent default, there are no exceptions.         If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	Does the lender have the right to recall early?	Yes
Yes         If yes, detail any exceptions       Absent default, there are no exceptions.         If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	If yes, detail	any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange through which the relevant
Absent default, there are no exceptions. If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	Will the securities be returned on settlement?	Yes
If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a	If yes, detail any exceptions	Absent default, there are no exceptions.
	Statement	

	led with ASX.
Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU00000APX3
Date of change of relevant interests:	08-Jan-2024
Schedule	
Type of agreement	Global Master Securities Lending Agreement ("GMSLA")
Parties to agreement	J.P. Morgan Securities plc ("borrower") and State St Bank and Trust Company as agent ("lender")
Transfer date	<u>Settlement date</u> 30-Mar-2023 20-Apr-2023
rights	Borrower
rights	Yes
	The borrower shall have no obligation to arrange for voting rights to be exercised in accordance with the instructions of the other party, unless otherwise agreed between the parties.
Scheduled return date (if any)	None
· · · · · · · · · · · · · · · · · · ·	Yes
return early?	
	Borrower has the right to terminate a loan and redeliver all and any equivalent securities due and outstanding to the lender in accordance with lender's instructions and lender shall accept such redelivery.
Does the lender have the right to recall early?	Yes
If yes, detail	Lender has right to recall all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange or in the clearing organisation through which the relevant borrowed securities were originally delivered. The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
Will the securities be	
returned on settlement?	Yes
If yes, detail any exceptions	No exceptions
	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.

shareholding notice f	
Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU000000APX3
Date of change of relevant interests:	08-Jan-2024
Schedule	
	Overseas Securities Lender's Agreement ("OSLA")
Parties to agreement	JPMorgan Chase Bank, N.A. (acting as agent) ("lender") J.P. Morgan Securities plc ("borrower")
	Settlement date 28-Mar-2023 26-May-2023 25-Jul-2023 04-Dec-2023 03-Jan-2024
rights	Borrower
Are there any restriction on voting rights	Yes
If yes, detail	The borrower undertakes to use its best endeavors to arrange for the voting rights to be exercised in accordance with the instructions of the lender, provided that the lender uses its best endeavors to notify the borrower of its instructions in writing no later than 7 business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties. This undertaking is set out in clause 4(B)(vi) of the standard form OSLA.
Scheduled return date (if any)	None
Does the borrower have the right to return early?	Yes
If yes, detail	Borrower has right to return all and any equivalent securities early at any time in accordance with the lender's instructions.
Does the lender have the right to recall early?	Yes
If yes, detail	Lender has right to recall all or any equivalent securities at any time by giving notice on any business day of not less than the standard settlement time for such equivalent securities on the exchange or in the clearing organisation through which the relevant borrowed securities were originally delivered (and where there is a difference between the settlement time for sales and purchases on the relevant exchange or clearing organisation, the standard settlement time shall be the shorter of the two times). The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	No exceptions
Statement	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.

shareholding notice f	
Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU000000APX3
Date of change of relevant interests:	08-Jan-2024
Schedule	
Type of agreement	Australian Master Securities Lending Agreement ("AMSLA")
Parties to agreement	JPMorgan Chase Bank, N.A. (acting as agent) ("lender"), J.P. Morgan Securities Australia Limited ('borrower')
Transfer date	<u>Settlement Date</u> 04-Dec-2023
Holder of voting rights	Borrower
re there any restriction on voting rights	Yes
If yes, detail	The borrower undertakes to use its best endeavours to arrange for the voting rights to be exercised in accordance with the instructions of the lender, provided that the lender uses its best endeavours to notify the borrower of its instructions in writing no later than 7 business days prior to the date upon which such votes are exercisable or as otherwise agreed between the parties. This undertaking is set out in clause 4.3 of the standard form AMSLA.
Scheduled return date (if any)	None
Does the borrower have the right to return early?	Yes
If yes, detail	Borrower has right to return all and any securities or equivalent securities early at any time in accordance with the lender's instructions.
Does the lender have the right to recall early?	Yes
If yes, detail	Lender has right to recall all or any equivalent securities on any business day by giving such notice as agreed by the parties. The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	No exception
Statement	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.

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Date:	10-Jan-2024
Company's name:	APPEN LTD
ISIN:	AU00000APX3
Date of change of relevant interests:	08-Jan-2024
Schedule	
Type of agreement	Global Master Securities Lending Agreement ("GMSLA")
Parties to agreement	State Street Bank and Trust Company ("lender") and J.P. Morgan Securities Australia Limited ("borrower")
Transfer date	<u>Settlement Date</u> 25-Jul-2023 11-Sep-2023 04-Dec-2023
Holder of voting rights	Borrower
Are there any restriction on voting rights	Yes
If yes, detail	The borrower shall have no obligation to arrange for voting rights to be exercised in accordance with the instructions of the other party, unless otherwise agreed between the parties.
Scheduled return date (if any)	None
Does the borrower have the right to return early?	Yes
If yes, detail	Borrower has the right to terminate a loan and redeliver all and any equivalent securities due and outstanding to the lender in accordance with lender's instructions and lender shall accept such redelivery.
Does the lender have the right to recall early?	Yes
If yes, detail	Lender has right to recall all or any equivalent securities on any business day by giving such notice as agreed by the parties. The borrower must return the securities not later than the expiry of such notice in accordance with the lender's instructions.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	No exceptions
Statement	If requested by the company to whom the prescribed form must be given, or if requested by ASIC, a copy of the agreement will be given to that company or ASIC.